



RECEIVED  
MAR 13 2003  
TECHNOLOGY CENTER R3700

Serial/Patent No.: 09/613,439

Filing/Issue Date 7/1/00

Applicant: Cragg, et al

Title: SYSTEM AND METHOD FOR FACILITATING HEMOSTASIS OF BLOOD VESSEL PUNCTURES WITH  
ABSORBABLE SPONGE

TRP Docket No.: 034298-069

Att/Sec'y Initials: REK/AY/n

Date Mailed: 9/13/02

Docket Due Date

The following has been received in the U.S. Patent & Trademark Office on the date stamped herein:

- |   |  |
|---|--|
| <input type="checkbox"/> Amendment/Response ( ___ pgs )                         | <input checked="" type="checkbox"/> IDS & PTO 1449 ( ___ pgs.)               |
| <input type="checkbox"/> Appeal Brief ( ___ pgs ) (in triplicate)               | <input checked="" type="checkbox"/> 3 Pieces of Prior Art Enclosed           |
| <input type="checkbox"/> Application - Utility ( ___ pgs with cover & abstract) | <input type="checkbox"/> Issue Fee Transmittal                               |
| <input type="checkbox"/> Application Rule 1.53(b) Continuation ( ___ pgs )      | <input type="checkbox"/> Submission of Formal Drawings:                      |
| <input type="checkbox"/> Application Rule 1.53(b) Division ( ___ pgs.)          | # of sheets includes ___ figures   |
| <input type="checkbox"/> Application Rule 1.53(b) CIP ( ___ pgs.)               | <input type="checkbox"/> Notice of Appeal                                    |
| <input type="checkbox"/> Application Rule 1.53(d) CPA ( ___ pgs.)               | <input checked="" type="checkbox"/> Postcard                                 |
| <input type="checkbox"/> Application PCT ( ___ pgs.)                            | <input type="checkbox"/> Preliminary Amendment ( ___ pgs.)                   |
| <input type="checkbox"/> Application Provisional ( ___ pgs.)                    | <input type="checkbox"/> Reply Brief ( ___ pgs.)                             |
| <input type="checkbox"/> Assignment and Cover Sheet                             | <input type="checkbox"/> Req and Cert. Not to Publish - Rule 1.213           |
| <input type="checkbox"/> Certificate of Correction                              | <input type="checkbox"/> Request for Continued Examination (RCE) ( ___ pgs.) |
| <input checked="" type="checkbox"/> Certificate of Mailing                      | <input type="checkbox"/> Request for Extension of Time ___ Month(s)          |
| <input type="checkbox"/> Declaration & POA ( ___ pgs.)                          | <input type="checkbox"/> Response to Notice to File Missing Parts            |
| <input checked="" type="checkbox"/> Fee Transmittal (in duplicate)              | <input type="checkbox"/> Copy of PTO Notice to File Missing Parts            |
| <input type="checkbox"/> Drawings (informal):                                   | <input checked="" type="checkbox"/> Transmittal Letter (original & copy)     |
| # of sheets includes ___ figures  | <input type="checkbox"/> Express Mail No.:                                   |
| <input type="checkbox"/> Other:   | <input checked="" type="checkbox"/> Check(s) \$180.00 #                      |

☒ Deposit Acct. No. 50-1698 \$

Patent Code: 126

Client/Matter # 34298 - 069

313345

Thelen Reid & Priest LLP

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San Jose, CA 95110-2701

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Thelen Reid & Priest LLP

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034298-69

Over \$500.00 Requires Two Signatures

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PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/613,439
	Filing Date	July 11, 200
	First Named Inventor	Cragg, et al.
	Group Art Unit	3761
	Examiner Name	Dennis Ruhl
Total Number of Pages in This Submission	Attorney Docket Number	034298-069

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  postcard prior art 3pcs. copy of Int'l. Search Report
Remarks		
<div>RECEIVED MAR 13 2003 TECHNOLOGY CENTER R3700</div>		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Adrienne Yeung Reg. No. 44,000	
Signature		
Date	9/13/02	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 9/13/02			
Typed or printed name	Ruth Rodriguez		
Signature		Date	9/13/02

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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT (\$)** \$180.**Complete if Known**

Application Number 09/613,439

Filing Date July 11, 2000

First Named Inventor CRAGG, et al.

Examiner Name Dennis Ruhl

Group / Art Unit 3761

Attorney Docket No. 034298-069

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit card ☐ Money ☐ Other ☐ None  
Order☒ Deposit Account:Deposit  
Account  
Number  
50-1698Deposit  
Account  
Name  
THELEN REID & PRIEST LLP  
P.O. BOX 640640  
SAN JOSE, CA 95164-0640

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee  
to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

**SUBTOTAL (1)**

(\$) 0

**2. EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims	0	X	0
Independent Claims	0	X	0
Multiple Dependent		X	0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

(\$) 0

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
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141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	\$180
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$) \$180.00

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type) Adrienne Yeung

Registration No. Attorney/Agent)

44,000

Telephone

408 292-5800

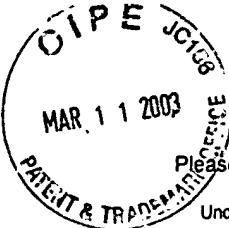
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Date

9/13/02

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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PTO/SB/21 (08-00)

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/613,439	
	Filing Date	07/11/00	
	First Named Inventor	Cragg, et al.	
	Group Art Unit	3761	
	Examiner Name	Ruhl, Dennis William	
Total Number of Pages in This Submission	1	Attorney Docket Number	034298-069

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  request for Acknowledgement of Information Disclosure Statement, copy of previously mailed IDS & 1449 listing 3 prior art, Fee Transmittal, Check# 93345 \$180.00 all mailed 09/13/02
Remarks		

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TECHNOLOGY CENTER R3700

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Adrienne Yeung, 44,000
Signature	
Date	3/6/03

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 3/6/03			
Typed or printed name	Ruth Rodriguez		
Signature		Date	3/6/03

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# FEE TRANSMITTAL for FY 2002

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Complete if Known

Application Number 09/613,439

Filing Date July 11, 2000

First Named Inventor Cragg, et al.

Examiner Name Ruhl, Dennis William

Group / Art Unit 3761

Attorney Docket No. 034298-069

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MAR 13 2003  
TECHNOLOGY CENTER R3700

TOTAL AMOUNT OF PAYMENT (\$) 0

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 50-1698

Deposit Account Name THELEN REID & PRIEST LLP  
P.O. BOX 640640  
SAN JOSE, CA 95164-0640

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description	Fee Paid
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114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0

### 2. EXTRA CLAIM FEES

Total Claims		** =	Extra Claims	X	Fee from below	=	Fee Paid
Independent Claims		** =	0	X		=	0
Multiple Dependent		X		=		=	0

Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description
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\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Fee Code	Large Entity (\$)	Fee Code	Small Entity (\$)	Fee Description	Fee Paid
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179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Adrienne Yeung Registration No. Attorney/Agent 44,000 Telephone 408 292-5800

Signature [Signature] Date 3/6/03

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Cragg et al.  
SERIAL NO.: 09/613,439  
FILING DATE: July 11, 2000  
TITLE: SYSTEM AND METHOD FOR FACILITATING HEMOSTASIS  
OF BLOOD VESSEL PUNCTURES WITH ABSORBABLE  
SPONGE  
EXAMINER: Ruhl, Dennis William  
ART UNIT: 3761

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Date:

3/6/03

Name:

Ruth Rodriguez

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WASHINGTON, D.C. 20231

**REQUEST FOR ACKNOWLEDGMENT OF  
INFORMATION DISCLOSURE STATEMENT AND  
COMMUNICATION UNDER 37 C.F.R. § 1.8(b)**

Adrienne Yeung (Reg. No. 44,000) contacted Examiner Ruhl on January 15, 2003 to confirm receipt of an Information Disclosure Statement mailed September 13, 2002. Examiner Ruhl stated that he did not receive the Information Disclosure Statement and requested that we file a communication under 37 C.F.R. § 1.8(b).

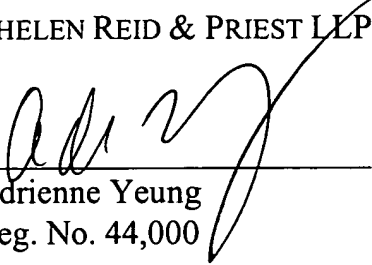
Applicant hereby requests formal acknowledgment of the Information Disclosure Statement that was submitted on September 13, 2003 in accordance with the provisions of

37 CFR §§ 1.56, 1.97 and 1.98 with regard to the above referenced application. A copy of the previously mailed submission including a certificate of mailing evidencing such mailing is attached hereto. A statement by Ruth Rodriguez further evidencing such mailing is included below.

Respectfully submitted,

THELEN REID & PRIEST LLP

Dated: March 6, 2003

  
\_\_\_\_\_  
Adrienne Yeung  
Reg. No. 44,000

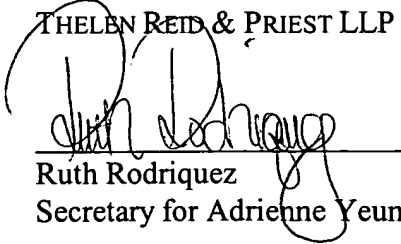
THELEN REID & PRIEST LLP  
P.O. Box 640640  
San Jose, CA 95164-0640  
(408) 292-5800 direct dial  
(408) 287-8040 direct fax

I am employed by Thelen Reid & Priest LLP, correspondence address P.O. Box 640640, San Jose, CA 95164-0640. I attest, based on my personal knowledge, that I certified and deposited the attached Information Disclosure Statement on September 13, 2002 with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231.

Respectfully submitted,

THELEN REID & PRIEST LLP

Dated: March 6, 2003

  
\_\_\_\_\_  
Ruth Rodriguez  
Secretary for Adrienne Yeung



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Cragg et al.  
SERIAL NO.: 09/613,439  
FILING DATE: July 11, 2000  
TITLE: SYSTEM AND METHOD FOR FACILITATING HEMOSTASIS  
OF BLOOD VESSEL PUNCTURES WITH ABSORBABLE  
SPONGE  
EXAMINER: Dennis Ruhl  
ART UNIT: 3761

**CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date printed below:

Date:

9/13/02

Name:

Ruth Rodriguez

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COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached, may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to make these items of official record in this application.



This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

I

This statement is filed pursuant to:

( ) 37 C.F.R. § 1.97(b).

This information disclosure statement is filed either (1) within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; (3) before the mailing date of a first office action on the merits, or (4) before the mailing of a first office action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

(X) 37 C.F.R. § 1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113; (2) a notice of allowance under 37 C.F.R. § 1.311; or (3) an action that otherwise closes prosecution in the application.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17 (p) for submission of an information disclosure statement under 37 C.F.R. § 1.97 (c) (\$180); or a certification according to 37 C.F.R. § 1.97 (e).

( ) 37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) (\$180), and a certification according to 37 C.F.R. § 1.97(e).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

II

- ( ) No fee is due.
- ( X ) The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$180).

Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698. An additional copy of this page is enclosed.

III

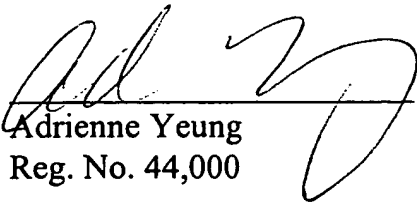
Pursuant to 37 C.F.R. § 1.97(e), I certify:

- ( X ) No certification is necessary.
- ( X ) (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
- ( ) (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

Respectfully submitted,  
THELEN REID & PRIEST LLP

Dated:

9/13/02

  
Adrienne Yeung  
Reg. No. 44,000

THELEN REID & PRIEST LLP  
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